# Regulations Affecting Stationary Engines

### **RICE NESHAP**

- Applies to existing, new, and reconstructed stationary engines (both CI and SI)
- Focus is air toxics (HAP)
- Established under CAA section 112

### CI/SI ICE NSPS

- Applies to new, modified, and reconstructed stationary CI/SI engines
- • Focus is criteria pollutants
- Established under CAA section 111

# **Definitions**

"Stationary Internal Combustion Engine":

Any internal combustion engine, except combustion turbines, that converts heat energy into mechanical work and is not mobile. A stationary ICE *is not* a nonroad engine as defined at 40 CFR 1068.30, and is not used to propel a motor vehicle or a vehicle used solely for competition. Stationary ICE includes reciprocating ICE, rotary ICE, and other ICE except combustion turbines

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### NON ROAD ENGINE

- …it is in or on a piece of equipment that is self-propelled or serves a dual purpose by both propelling itself and performing another function
- ...it is in or on a piece of equipment that is intended to be propelled while performing its40 CFR 1068.30function
- ...by itself or in or on a piece of equipment, is portable or transportable, meaning designed to be and capable of being carried or moved from one location to another.

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# **Definitions (con't)**

Rich burn engine - Any four-stroke spark ignited engine where the manufacturer's recommended operating air/fuel ratio divided by the stoichiometric air/fuel ratio at full load conditions is less than or equal to 1.1.

Engines originally manufactured as rich burn engines, but modified prior to December 19, 2002 with passive emission control technology for NOX (such as pre-combustion chambers) will be considered lean burn engines. Also, existing engines where there are no manufacturer's recommendations regarding air/fuel ratio will be considered a rich burn engine if the excess oxygen content of the exhaust at full load conditions is less than or equal to 2 percent.

Lean burn engine – Any two-stroke or four-stroke spark ignited engine that does not meet the definition of a rich burn engine.

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# Timeline of Final Regulations

Date	Rule	Type of engines covered
June 2004	NESHAP	•Existing/new engines >500 HP at major sources
June 2006	NSPS	•New CI engines
January 2008	NSPS	•New SI engines
	NESHAP	New engines
March 2010	NESHAP	Existing CI engines     ≤500 HP at major sources     all HP at area sources     non-emergency CI >500 HP at major sources
August 2010	NESHAP	Existing SI engines     ≤500 HP at major sources     all HP at area sources
June 2011	NSPS	•Amendments for CI and SI engines
January 2013	NESHAP and NSPS	•Reconsideration of 2010 NESHAP •Minor amendments to NSPS for CI and SI engines

# Applicability

### RICE NESHAP

· Applies to stationary CI and SI engines, both existing and new

### CHICE NSPS

- · Applies to stationary CI engines:
  - Ordered after July 11, 2005 and manufactured after April 1, 2006
  - · Modified or reconstructed after July 11, 2005

### SHICE NSPS

- · Applies to stationary SI engines:
- Ordered after June 12, 2006 and manufactured on/after
  - July 1, 2007 if ≥500 HP (except lean burn 500≤HP<1,350)
- January 1, 2008 if lean burn 500≤HP<1,350
- July 1, 2008 if <500 HP
- · January 1, 2009 if emergency >25 HP

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· Modified or reconstructed after June 12, 2006

April 10

# Modification and Reconstruction

- Modification (NSPS only)
  - ▶ Physical or operational change to an existing facility which results in an increase in the emission rate to the atmosphere of a regulated pollutant
  - ▶ See 40 CFR 60.14

### ► Reconstruction

- ▶ Replacement of components of an existing facility to such an extent that the fixed capital cost of the new components exceeds 50 percent of the fixed capital cost of a comparable entirely new facility, and it is technologically and economically feasible to meet the applicable standards
- ▶ See 40 CFR 60.15 and 63.2

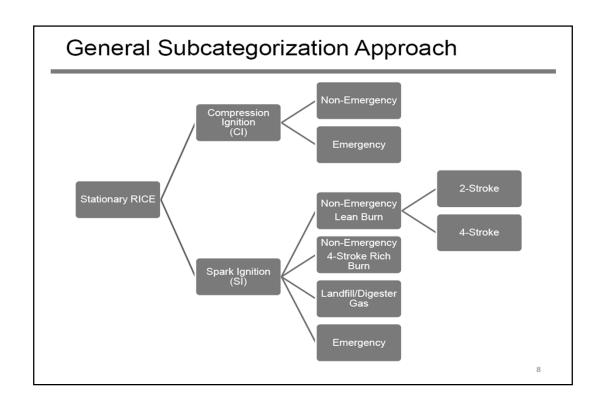
# Stationary RICE NESHAP

# RICE NESHAP Background

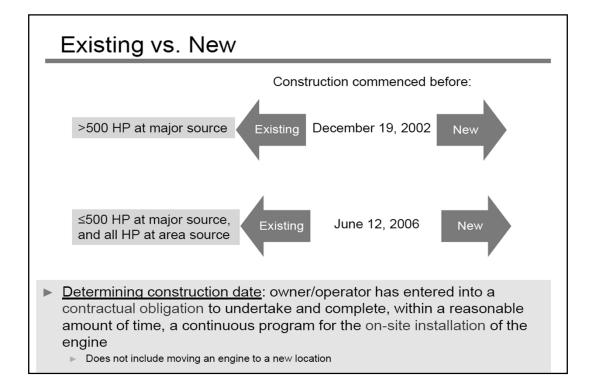
- Regulates HAP emissions from stationary RICE at both major and area sources of HAP
  - ▶ <u>Major</u>: ≥10 tons/year single HAP or ≥25 tons/year total HAP
  - ► Area: not major
- ► All sizes of engines are covered



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# RICE NESHAP Applicability

- ▶ ONLY STATIONARY ENGINES NOT SUBJECT: existing emergency engines located at residential, institutional, or commercial area sources used or obligated to be available ≤15 hr/yr for emergency demand response or voltage/frequency deviation, and not used for local reliability
  - residential: includes homes, apartment buildings
  - <u>commercial</u>: includes office buildings, hotels, stores, telecommunications facilities, restaurants, financial institutions, doctor's offices, sports and performing arts facilities
  - institutional: includes medical centers, nursing homes, research centers, institutions of higher education, correctional facilities, elementary and secondary schools, libraries, religions establishments, police stations, fire stations

More info: http://www.epa.gov/ttn/atw/rice/guidance\_emergency\_engine\_def.pdf10

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### Emission Standards: Existing RICE at Major Sources

HP	Engine Subcategory					
		Non-emergency				
	CI	SI 2SLB	SI 4SLB	SI 4SRB	SI LFG/DG	
<100	_	Change oil and filter and inspect air cleaner (CI) or spark plugs (SI) every 1,000 hours of operation or annually; inspect hoses and belts every 500 hours of operation or annually				
100-300	230 ppm CO	225 ppm CO	47 ppm CO	10.3 ppm CH <sub>2</sub> O	177 ppm CO	hoses/belts every 500 hours or
300-500	49 ppm CO or 70% CO reduction					annually; inspect air cleaner (CI) or spark plugs (SI every 1,000 hours or annually
>500	23 ppm CO or 70% CO reduction	No standards	No standards	350 ppb CH <sub>2</sub> O or 76% CH <sub>2</sub> O reduction	No standards	No standard

Note: Existing limited use engines >500 HP at major sources do not have to meet any emission standards. Existing black start engines <500 HP at major sources must meet work practice standards.

# Emission Standards - New RICE at Major Sources

HP	Engine Subcategory					
		Non-emergency				
	CI	SI 2SLB	SI 4SLB	SI 4SRB	SI LFG/DG	
<250	Comply with CI NSPS	Comply with SI NSPS	Comply with SI NSPS	Comply with SI NSPS	Comply with SI NSPS	Comply with CI/SI NSPS
250- 500			14 ppm CH <sub>2</sub> O or			
>500	580 ppb CH <sub>2</sub> O or 70% CO reduction	12 ppm CH <sub>2</sub> O or 58% CO reduction	93% CO reduction	350 ppb CH <sub>2</sub> O or 76% CH <sub>2</sub> O reduction	No standards	No standards

Note: New limited use engines >500 HP at major sources do not have to meet any emission standards under the NESHAP.

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# Compliance Requirements: RICE at Major Sources

Engine Subcategory	Compliance Requirements
Existing non-emergency:  •CI ≥100 HP at major source  •SI 100-500 HP at major source	•Initial emission performance test •Subsequent performance testing every 8,760 hours of operation or 3 years for engines >500 HP (5 years if limited use) •Operating limitations - catalyst pressure drop and inlet temperature for engines >500 HP •Notifications •Semiannual compliance reports (annual if limited use)
	Existing non-emergency CI >300 HP:  •Ultra low sulfur diesel (ULSD)  •Crankcase emission control requirements

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# Compliance Requirements: RICE at Major Sources

Engine Subcategory	Compliance Requirements
Existing non-emergency:	•Initial emission performance test
•SI 4SRB >500 HP at major source	•Subsequent performance testing semiannually (can reduce frequency to annual)*
New non-emergency:	•Operating limitations - catalyst pressure drop and
•SI 2SLB >500 HP at major source	inlet temperature
•SI 4SLB >250 HP at major source	•Notifications
•SI 4SRB >500 HP at major source	Semiannual compliance reports
•CI>500 HP at major source	
•New emergency/limited use	•Initial notification
>500 HP at major source	•Reporting and ULSD for emergency engines used
	for emergency demand response
•New non-emergency LFG/DG	•Initial notification
>500 HP at major source	•Monitor/record fuel usage daily
	•Annual report of fuel usage

<sup>\*</sup>Subsequent testing required for 4SRB engine complying with formaldehyde % reduction standard only if engine is ≥5,000 HP

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### Compliance Requirements: RICE at Major Sources

Engine Subcategory	Compliance Requirements
•Existing emergency/black start ≤500 HP at major source •Existing non-emergency <100 HP at major source	Operate/maintain engine & control device per manufacturer's instructions or owner-developed maintenance plan May use oil analysis program instead of prescribed oil change frequency Emergency engines must have hour meter and record hours of operation Keep records of maintenance Notifications not required Reporting and ULSD for emergency engines >100 HP used for emergency demand response

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### Emission Standards: Existing Non-Emergency RICE at Area Sources

НР	Engine Subcategory					
			Non-emergency			
	CI	SI 2SLB	SI 4S in remote areas	SI 4S not in remote areas	SI LFG/DG	
≤300	Change oil/filter & inspect air cleaner every 1,000 hours or annually; inspect hoses/belts every 500 hours or annually  Change oil/filter & inspect spark plugs, & inspect hoses/ belts every 4,320 hours or annually		Change oil/ filter, in inspect hoses/belts operation o	Change oil/ filter, inspect spark plugs, & inspect hoses/ belts every 1,440 hours of operation or annually		
300-500	49 ppm CO or 70% CO reduction					
>500	23 ppm CO or 70% CO reduction		Change oil/ filter, inspect spark plugs, & inspect hoses/belts every 2,160 hours of operation or annually	If engine used >24 hrs/yr: 4SLB: Install oxidation catalyst 4SRB: Install NSCR		

New Non-Emergency RICE Located at Area Sources: meet Stationary Engine NSPS
•part 60 subpart IIII if CI; part 60 subpart JJJJ if SI

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### Compliance Requirements: Non-Emergency Engines at Area Sources

Engine Subcategory	Compliance Requirements		
•Existing non-emergency CI >300 HP at area source	•Initial emission performance test •Subsequent performance testing every 8,760 hours of operation or 3 years for engines >500 HP (5 years if limited use) •Operating limitations - catalyst pressure drop and inlet temperature for engines >500 HP •Notifications •Semiannual compliance reports (annual if limited use) •Ultra low sulfur diesel (ULSD) •Crankcase emission control requirements		
•Existing non-emergency SI 4SLB/4SRB >500 HP at area source used >24 hours/year and not in remote area	•Initial and annual catalyst activity checks •High temperature engine shutdown or continuously monitor catalyst inlet temperature •Notifications •Semiannual compliance reports		

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### Compliance Requirements: Non-Emergency Engines at Area Sources

Engine Subcategory	Compliance Requirements
Existing non-emergency:  •black start at area source  •CI ≤300 HP at area source  •SI ≤500 HP at area source  •SI 2SLB >500 HP at area source  •SI LFG/DG >500 HP at area source  •SI 4SLB/4SRB >500 HP at area source  ≤24 hours/year or in remote area	Operate/maintain engine & control device per manufacturer's instructions or owner-developed maintenance plan May use oil analysis program instead of prescribed oil change frequency Keep records of maintenance Notifications not required

# How is "Remote" Defined?

### Remote defined as:

- Located in offshore area; or
- Located on a pipeline segment with 10 or fewer buildings intended for human occupancy and no buildings with 4 or more stories within 220 yards on either side of a continuous 1-mile length of pipeline (DOT Class 1 area), and the pipeline segment is not within 100 yards of a building or small well-defined outside area (playground, etc.) occupied by 20 or more persons on at least 5 days a week for 10 weeks in any 12-month period; or
- Not located on a pipeline and having 5 or fewer buildings intended for human occupancy and no buildings with 4 or more stories within a 0.25 mile radius around the engine
- ▶ Engine must meet remote definition as of October 19, 2013

### **Emergency Engine Operational Limitations**

- ▶ Unlimited use for emergencies (e.g., power outage, fire, flood)
- ▶ 100 hr/yr for:
  - maintenance/testing
  - emergency demand response (EDR) when Energy Emergency Alert Level 2 has been declared by Reliability Coordinator
  - ▶ voltage or frequency deviates by 5% or more below standard
- ▶ 50 hr/yr of the 100 hr/yr allocation can be used for:
  - non-emergency situations if no financial arrangement
  - local reliability as part of a financial arrangement with another entity if:
    - existing RICE at area source
    - engine is dispatched by local transmission/distribution system operator
    - dispatch intended to mitigate local transmission and/or distribution limitations so as to avert potential voltage collapse or line overloads
    - dispatch follows reliability, emergency operation, or similar protocols that follow specific NERC, regional, state, public utility commission, or local standards or guidelines
    - · power provided only to facility or to support local distribution system
    - owner/operator identifies and records dispatch and standard that is being followed
  - peak shaving in local system operator program until May 3, 2014 if existing RICE at area source

Compliance Requirements: Emergency Engines at Area Sources

### **Existing engine**:

- Change oil/filter & inspect hoses/ belts every 500 hours or annually; inspect air cleaner (CI) or spark plugs (SI) every 1,000 hours or annually
  - May use oil analysis program
- Operate/maintain per manufacturer's instructions or owner-developed maintenance plan
- ► Minimize startup/idle
- ▶ Non-resettable hour meter
- Records of hours of operation and maintenance
- ▶ Initial notifications NOT required

### New engine:

- Meet Stationary Engine NSPS
  - part 60 subpart IIII if CI; part 60 subpart JJJJ if SI

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# Oil Analysis Programs

Parameter	Condemning Limits
Total Base Number (CI RICE only)	<30% of the TBN of the oil when new
Total Acid Number (SI RICE only)	Increases by more than 3.0 mg of potassium hydroxide per gram from TAN of the oil when new
Viscosity	Changed by more than 20% from the viscosity of the oil when new
% Water Content by volume	>0.5

- Oil analysis must be performed at same frequency specified for oil changes
- If condemned, change oil within 2 business days
  - Owner/operator must keep records of the analysis

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### Reporting Requirements for Emergency Engines

- Requirements apply to emergency RICE >100 HP that are:
  - Operated or contractually obligated to be available >15 hr/yr (up to 100 hr/yr) for emergency demand response or voltage/frequency deviation, or
  - Operated for local reliability (up to 50 hr/yr)
- Beginning with 2015 operation, report electronically by March 31 of following year:
  - ▶ Facility name/address
  - ► Engine rating, model year, lat/long
  - ▶ Date, start time, end time for operation for purposes above
  - Number of hours engine is contractually obligated for emergency demand response or voltage/frequency deviation
  - Entity that dispatched engine for local reliability and situation that necessitated dispatch
  - ▶ Deviations from fuel requirement
- Submit report electronically through the Compliance and Emissions Data Reporting Interface
  - Accessed through EPA's Central Data Exchange at http://www.epa.gov/cdx

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# Fuel Requirements for Emergency Engines

- ▶ Requirements apply to emergency CI RICE >100 HP and displacement <30 liters/cylinder that are:</p>
  - Operated or contractually obligated to be available >15 hr/yr (up to 100 hr/yr) for emergency demand response or voltage/frequency deviation, or
  - Operated for local reliability (up to 50 hr/yr)
- ▶ Beginning January 1, 2015, use ultra low sulfur diesel fuel
  - Existing inventory may be depleted

# **Key Dates**

- ▶ Initial applicability notifications for engines subject to notification requirements were due by:
  - August 31, 2010 for existing CI RICE
  - ▶ February 16, 2011 for existing SI RICE
- Compliance dates:
  - ▶ June 15, 2007
    - Existing RICE >500 HP at major sources (except non-emergency CI >500 HP at major sources)
  - May 3, 2013
    - Existing CI RICE (except emergency CI >500 HP at major sources)
  - October 19, 2013
    - Existing SI RICE ≤500 HP at major sources and all HP at area sources
  - ▶ Upon startup for new engines

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# Compliance Extension [§63.6(i)]

- Under 40 CFR 63.6(i),
  - EPA can grant up to 1 year if necessary to install controls
- State can also approve if
  - Delegated the NESHAP, or
  - The source is required to obtain a Title V operating permit, and state has an approved permit program
- Application process
  - Submit written request to EPA regional office or state 120 days in advance of the compliance date (unless the need arose later due to circumstances beyond reasonable control)
  - Include a schedule for construction and final compliance and description of the controls

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# Stationary ICE NSPS

# Stationary CI Engine NSPS

- ▶ 40 CFR part 60 subpart IIII
- Affects new, modified, and reconstructed stationary CI engines
- Originally promulgated July 11, 2006
- Amended June 28, 2011

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# CI ICE NSPS Applicability

### ► CI Engines:

- ► constructed (ordered) after July 11, 2005 <u>and</u> manufactured after April 1, 2006 (July 1, 2006 for fire pump engines)
- ▶ modified/reconstructed after July 11, 2005

Note: engine manufacturers must certify 2007 model year and later stationary CI engines <30 liters/cylinder displacement

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### **Emission Standards**

### ► <30 liters/cylinder

Meet Tier standards equivalent to standards for nonroad engines

## ≥30 liters/cylinder

- NOx limits (g/kW-hr): equivalent to EPA standards for large marine engines
- ► PM limit:
  - 60% reduction or 0.15 g/kW-hr for non-emergency
  - 0.40 g/kW-hr for emergency

# Fuel Requirements

Date	Requirement
October 1, 2007	Low sulfur diesel (LSD)
October 1, 2010	Ultra low sulfur diesel (ULSD)
Engines <30 liters/cylinder displacement	<ul> <li>Max sulfur content 15 ppm</li> <li>Minimum cetane index of 40 or max aromatic content of 35 volume %</li> </ul>
June 1, 2012	1,000 ppm sulfur diesel
Engines ≥30 liters/cylinder displacement	

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# **Engine Manufacturer Compliance Requirements**

- ► Engine manufacturers must certify 2007 model year and later engines with a displacement <30 liters/cylinder
  - ► Certification = EPA Certificate of Conformity



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AND SECRETARY	UNITED STATES ENVIRONMENTAL PROTECTION AGENCY 2012 MODEL YEAR CERTIFICATE OF CONFORMITY WITH THE CLEAN AIR ACT OF 1990			OFFICE OF TRANSPORTATION AND AIR QUALITY ANN ARBOR, MICHIGAN 48105  Issue Date: 0902/2011 Revision Date: N/A	
Certificate Issued To: Perkins Engines Co Ltd (U.S. Manufacturer or Importer) Certificate Number: CPKXL04.4NJ1-007					
Model Year: 2012 Manufacturer Type: Origina Engine Family: CPKXL04.41		Emission Fuel Typ After Tr	Stationary Indicator: Stationary is Power Category: 75 KW <) be: Non-Standard Fucl, Dicsel eatment Devices: No After Tre. r Treatment Devices: Electron	atment Devices Installed	
warrant or court order may lead rendered void ab initio for other	it the manufacturer shall consent to all inspections des to revocation or suspension of this scriftiate for rear r casons specified in 40 CFR Part 60. mgines sold, offered for sale, or introduced, or deliver	sons specified in 40 CFR Part	60. It is also a term of this certification in the U.S. prior to the effective to the effec	ficate that this certificate may b	

# Owner/Operator Compliance Requirements

- ▶ 2007 model year and later with displacement <30 liters/cylinder\*
  - ▶ purchase <u>certified</u> engine
  - ▶ Install, configure, operate and maintain engine per manufacturer's instructions or manufacturer-approved procedures
    - · Owner/operator performance testing not required
  - If operate differently than manufacturer's recommendations, must do performance test to show compliance
- ▶ Displacement ≥30 liters/cylinder
  - ▶ Initial performance test
  - ▶ Annual performance test for non-emergency engine
  - Continuously monitor operating parameters

\*For CI fire pump engine, 2008-2011 model year and later (depending on engine size)

# Monitoring/Recordkeeping/Reporting

Engine Type	Requirement
Emergency Engines	•Non-resettable hour meter and records of operation if engine does not meet non-emergency engine standards
Equipped with diesel particulate filter (DPF)	•Backpressure monitor and records of corrective actions
Non-emergency >3,000 HP or with displacement >10 liters/cylinder and	Submit initial notification     Keep records of notifications and engine maintenance     If certified, keep records of documentation of engine certification     If not certified, keep records of compliance
Pre-2007 model year >175 HP that are not certified	demonstrations

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# Stationary SI Engine NSPS

- ▶ 40 CFR part 60 subpart JJJJ
- Affects new, modified, and reconstructed stationary SI engines
- Initially promulgated on January 18, 2008
- Amended June 28, 2011

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# SI ICE NSPS Applicability

▶ SI engines constructed (ordered) after June 12, 2006 and

Manufactured On/After	Engine Type
July 1, 2007	≥500 HP (except lean burn 500≤HP<1,350)
January 1, 2008	Lean burn 500≤HP<1,350
July 1, 2008	<500 HP
January 1, 2009	Emergency >25 HP

▶ Modified/reconstructed after June 12, 2006

Note: engine manufacturers must certify stationary SI engines ≤25 HP and engines >25 HP that are gasoline or rich burn LPG

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# **Emission Standards**

- Phased in over time with increasing levels of stringency
- Output-based, units of g/KW-hr (g/HP-hr)
- ▶ ppmvd@15% O<sub>2</sub> standards for some engines
- ▶ Pollutants: NOx, CO, VOC
- Some standards modeled after EPA's standards for nonroad SI engines

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# **Emission Standards (In General)**

Engine	Standards
≤25 HP (all engines)	Part 90 or part 1054 standards for new nonroad SI engines
Non-emergency gasoline and rich burn LPG	Part 1048 standards for new nonroad SI engines
Non-emergency natural gas and lean burn LPG 25 <hp<100< td=""><td>Part 1048 standards for new nonroad SI engines (or other options)</td></hp<100<>	Part 1048 standards for new nonroad SI engines (or other options)
≥100 HP and not gasoline or rich burn LPG	Standards in Table 1 of subpart JJJJ, part 1048 standards for some engines

Owners/operators of gasoline engines must use gasoline that meets the sulfur limit in 40 CFR 80.195 – cap of 80 ppm

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# Compliance Requirements for Owners/Operators

### ▶ Certified engines

- ▶ Install, configure, operate and maintain engine according to manufacturer's instructions
- ▶ If you do not operate/maintain according to manufacturer's instructions:
  - keep maintenance plan and maintenance records
  - operate consistent with good air pollution control practices
  - 100≤HP≤500 initial performance test
  - >500 HP initial performance test and subsequent every 8,760 hours or 3 years, whichever is first

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# Compliance Requirements for Owners/Operators

### ► Non-certified engines:

- Maintenance plan
- ▶ Performance testing
  - 25<HP≤500 initial test
  - >500 HP initial test and subsequent every 8,760 hours or 3 years, whichever is first
  - · Conduct within 10% of peak (or highest achievable) load

### ► Monitoring/recordkeeping/reporting includes:

- Non-resettable hour meter and records of operation for emergency engines
- Documentation of certification
- ▶ Records of engine maintenance
- Initial notification for non-certified engines >500 HP
- ▶ Results of performance testing within 60 days of test

EPA Region	Geographic Area	Contact	Phone	Email
Region I	CT, MA , ME, NH, RI, VT	Susan Lancey	(617) 918-1656	lancey.susan@epa.gov
		Roy Crystal	(617) 918-1745	crystal.roy@epa.gov
Region II	NJ, NY, PR, VI	Umesh Dholakia	(212) 637-4023	dholakia.umesh@epa.gov
Region III	DE, MD, PA, VA, WV, DC	Ray Chalmers	(215) 814-2746	chalmers.ray@epa.gov
Region IV	FL, NC, SC, KY, TN, GA, AL,	Lee Page	(404) 562-9131	page.lee@epa.gov
	MS			
Region V	IL, IN, WI, MI, OH, MN	Rae Trine	(312) 353-9228	trine.rae@epa.gov
	IL, IN	Nathan Frank	(312) 886-3850	frank.nathan@epa.gov
	WI, MI	Sara Breneman	(312) 886-0243	breneman.sara@epa.gov
	OH, MN	William MacDowell	(312) 886-6798	macdowell.william@epa.gov
Region VI	AR, LA, NM, OK, TX	Donald M. Smith	(214) 665-7270	smith.donald-m@epa.gov
		Tony Robledo	(214) 665-8182	robledo.tony@epa.gov
Region VII	IA, KS, MO, NE	Leslye Werner	(913) 551-7858	werner.leslye@epa.gov
		David Peter	(913) 551-7397	peter mailto:werner.leslye@epa.gov
Region VIII	CO, MT, ND, SD, UT, WY	Alexis North	(303) 312-7005	north.alexis@epa.gov
Region IX	CA, AZ, HI, NV, GU, AS, MP	Periann Wood	(415) 947-4138	wood.periann@epa.gov
		Lisa Beckham	(415) 972-3811	beckham.lisa@epa.gov
Region X	AK, ID, WA, OR	Heather Valdez	(206) 553-6220	valdez.heather@epa.gov

# Implementation Assistance

- ▶ RICE NESHAP/NSPS TTN websites
  - http://www.epa.gov/ttn/atw/rice/ricepg.html
  - ▶ http://www.epa.gov/ttn/atw/nsps/cinsps/cinspspg.html
  - http://www.epa.gov/ttn/atw/nsps/sinsps/sinspspg.html
- ► EPA Regional Office RICE websites
  - ▶ Region 1: http://www.epa.gov/region1/rice
  - ▶ Region 10: http://yosemite.epa.gov/R10/airpage.nsf/Enforcement/rice\_rules
- ▶ Electronic CFR
  - http://www.gpoaccess.gov/ecfr



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